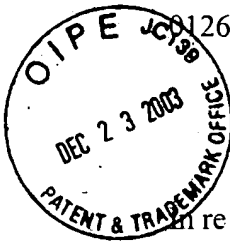


# 9  
S.I  
12-29-03

2641



01263.001603.

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

DEC 24 2003

Technology Center 2600

Re Application of:

JASON PETER ANDREW  
CHARLESWORTH, ET AL.

Application No.: 09/840,886

Filed: April 25, 2001

For: DATABASE ANNOTATION  
AND RETRIEVAL

Group Art Unit: 2641

December 22, 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed below and on the enclosed Form PTO-1449. Copies of the listed documents are also enclosed.

- (1) U.S. Patent No. 5,737,489
- (2) U.S. Patent No. 5,999,902
- (3) U.S. Patent No. 6,192,337
- (4) U.S. Patent No. 6,535,850
- (5) U.S. Patent No. 6,567,778
- (6) Europe 789,349
- (7) Europe 849,723
- (8) United Kingdom 2,349,260
- (9) WO 00/31723

RECEIVED

DEC 29 2003

TC 2600

(10) Gerber, C., "A General Approach to Speech Recognition", *Proceedings of the Final Workshop on Multimedia Information Retrieval (Miro '95)*, Glasgow, Scotland (September 18-20, 1995) pp. 1-12.

Documents (1) and (3) to (5) were recently cited by the Examiner in a Notice of Allowance issued in U.S. Application Serial No. 09/695,077 cited in the present application in an Information Disclosure Statement dated April 12, 2002.

Documents (2) and (6) to (10) were cited during prosecution of counterpart European patent applications. Copies of the European Search Reports are enclosed.

Inasmuch as this application has not yet received a first Office Action on the merits, it is believed that this Information Disclosure Statement is timely. See 37 C.F.R. § 1.97(b)(3).

Accordingly, the Examiner is urged to study this information in its entirety and to form an independent determination of the materiality of the information to the claimed invention. Additionally, the Examiner is requested to indicate that this information has been considered by initialling the appropriate portion of Form PTO-1449.

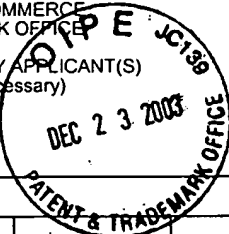
Applicants' undersigned attorney may be reached in our Costa Mesa, California office by telephone at (714) 540-8700. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

  
Attorney for Applicants

Registration No. 39,000

FITZPATRICK, CELLA, HARPER & SCINTO  
30 Rockefeller Plaza  
New York, New York 10112-3800  
Facsimile: (212) 218-2200

FORM PTO 1449 (modified)  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)				ATTY DOCKET NO. <b>01263.001603.</b>		APPLICATION NO. <b>09/840,886</b>	
				APPLICANT <b>JASON PETER ANDREW CHARLESWORTH, ET AL.</b>			
				FILING DATE <b>April 25, 2001</b>		GROUP <b>2641</b>	
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,737,489	04/07/98	Chou et al.	395	2.65	
		5,999,902	12/07/99	Scahill et al.	704	240	
		6,192,337	02/20/01	Ittycheriah et al.	704	231	
		6,535,850	03/18/03	Bayya	704	239	
		6,567,778	05/20/03	Chao Chang et al.	704	257	
					<div style="border: 1px solid black; padding: 5px; display: inline-block;"> <b>RECEIVED</b>            DEC 24 2003            Technology Center 2600         </div>		
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
		789,349	08/13/97	Europe			
		849,723	06/24/98	Europe			
		2,349,260	10/25/00	United Kingdom			
		00/31723	06/02/00	PCT			
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)							
		Gerber, C., "A General Approach to Speech Recognition", <i>Proceedings of the Final Workshop on Multimedia Information Retrieval (Miro '95)</i> , Glasgow, Scotland (September 18-20, 1995) pp. 1-12.					
EXAMINER				DATE CONSIDERED			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.